

<b>Interview Summary</b>	Application No.	Applicant(s)	
	09/847,534	NOVIK ET AL.	
	Examiner	Art Unit	
	Lewis A. Bullock, Jr.	2195	

All participants (applicant, applicant's representative, PTO personnel):

(1) Lewis A. Bullock, Jr. (3) \_\_\_\_\_

(2) Daniel T. McGinnity (4) \_\_\_\_\_

Date of Interview: 20 June 2007.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_

Claim(s) discussed: 1, 3-12, 14-25, 27-31, 34, 35, 37-43.

Identification of prior art discussed: None.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant agreed to amend the claims as disclosed in the Examiner's Answer to put the application in condition for allowance.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

\_\_\_\_\_  
Examiner's signature, if required

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/847,534  
Confirmation No. ....4018  
Filing Date .....5/1/2001  
5 Inventorship.....Lev Novik et al.  
Applicant ..... Microsoft Corporation  
Group Art Unit .....2195  
Examiner ..... Bullock Jr.  
Attorney's Docket No. ....MS146898.01  
10 Title: Method and Apparatus for Correlating Events

**PROPOSED INTERVIEW SUMMARY FOR INTERVIEW DATED 6/20/2007**

15 To: Lewis Bullock Jr.  
By Email

20 From: Daniel T. McGinnity (Tel. 509-755-7257; Fax 509-755-7252)  
Sadler, Breen, Morasch & Colby, p.s.  
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Spokane, WA 99201

25 **Remarks**

Applicant wishes to sincerely thank Examiner Lewis A. Bullock Jr. for  
conducting a telephonic interview with Applicant's Attorney, Dan McGinnity on  
6/20/2007. In the interview the Examiner and Applicant's Attorney agreed to  
claim amendments to place the application in condition for allowance. Per the  
30 telephone interview of 6/20/07, Applicant submits **Amendments to the Claims**  
beginning on page 3 of this paper. The claims which are provided herein in  
marked-up form are responsive to the Examiner's proposed amendments dated  
6/19/2007 and reflect the Applicant's understanding of the claims which

Applicant's Attorney and the Examiner agreed as being allowed in the Interview.  
The Examiner agreed that the Amendments to the Claims below would be entered  
by Examiner's Amendment and would place the application in condition for  
allowance.

5        Accordingly, the Application is in condition for allowance. Should any  
issue remain that prevents immediate issuance of the application, the Examiner is  
requested to contact the undersigned attorney to discuss the unresolved issue.

Respectfully submitted,

10    Date: 6/22/07        By: /Daniel T. McGinnity, #55,444/  
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## Amendments to the Claims

1. (Currently Amended) A computer-implemented method comprising:  
receiving a plurality of events;

5       applying the plurality of events to a correlation function, wherein the  
correlation function is implemented as a state machine in a first programming  
language and is 1) configured to correlate the plurality of events and 2)  
implemented using a schema which defines state classes and permits the use of a  
variety of different programming languages by developers;

10       identifying an event to which an update consumer has subscribed, wherein  
the update consumer is:

      a class object separate from the state machine that defines transition  
operations for the state machine in said first programming language in lieu of  
when the state machine is defined; and

15       configured to update the state machine when the event to which the update  
consumer has subscribed occurs by invoking said transition operations;

      applying the update consumer to the state machine in response to the  
identified event; and

      generating a specific event if the correlation function is satisfied by the  
20       plurality of events.

2. (Canceled)

3. (Original) A method as recited in claim 1 further including:

receiving a data element; and

5 applying the data element and at least one of the plurality of events to the correlation function.

4. (Original) A method as recited in claim 1 further including:

receiving a plurality of data elements; and

10 applying the plurality of data elements and the plurality of events to the correlation function.

5. (Original) A method as recited in claim 1 further including communicating the specific event to at least one event consumer that subscribed to  
15 the specific event.

6. (Original) A method as recited in claim 1 further including continuing to receive additional events and apply the additional events to the correlation function if the correlation function is not satisfied by the plurality of events.

20 7. (Original) A method as recited in claim 1 further including resetting the correlation function after generating a specific event.

8. (Original) A method as recited in claim 1 further including:  
creating an instance of a particular state machine; and  
defining transitions for the particular state machine by subscribing to at  
least one event.

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9. (Previously Presented) A method as recited in claim 8 further including  
deleting the instance of the particular state machine if the instance of the particular  
state machine reaches a final state.

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10. (Currently Amended) One or more computer-readable memories  
storage media containing a computer program that is ~~executable~~ executed by a  
processor to perform the method recited in claim 1.

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11. (Currently Amended) A computer-implemented method comprising:

receiving a plurality of events;

receiving a plurality of data elements;

identifying a plurality of correlation functions configured to correlate the

5 plurality of events and the plurality of data elements, wherein each correlation

function is implemented with an associated state machine in a first programming

language, and implemented using a schema which defines state classes and

permits the use of a variety of different programming languages by developers;

and wherein each state machine has an associated update consumer provided as a

10 class object separate from the associated state machine that defines transition

operations for the state machine in said first programming language in lieu of

when the state machine is defined and that updates the state of the associated state

machine based on an subscribed event;

applying the plurality of events and plurality of data elements to the

15 plurality of correlation functions, including updating the state machine when the

event to which the update consumer has subscribed occurs by invoking the

transition operations; and

generating a specific event if at least one of the plurality of correlation

functions is satisfied.

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12. (Previously Presented) A method as recited in claim 11 further comprising deleting a particular state machine when the particular state machine reaches a final state.

5 13. (Canceled)

14. (Original) A method as recited in claim 11 further including communicating the specific event to at least one event consumer that subscribed to receive the specific event.

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15. (Original) A method as recited in claim 11 further including:

receiving additional events;

receiving additional data elements; and

applying the plurality of events, the additional events, the plurality of data

15 elements and the additional data elements to the plurality of correlation functions.



16. (Previously Presented) A method as recited in claim 11 further including:

receiving additional events;

receiving additional data elements;

5 receiving additional correlation functions; and

applying the plurality of events, the additional events, the plurality of data elements and the additional data elements to the plurality of correlation functions and the additional correlation functions.

10 17. (Original) A method as recited in claim 16 further including generating the specific event if at least one of the plurality of correlation functions or at least one of the additional correlation functions is satisfied.

15 18. (Original) A method as recited in claim 11 wherein the specific event generated is dependent on which correlation function is satisfied.

19. (Currently Amended) One or more computer-readable memories  
20 storage media containing a computer program that is ~~executable~~ executed by a processor to perform the method recited in claim 11.

20. (Currently Amended) A computer-implemented method comprising:  
identifying a schema for creating state machines, wherein the state machines to correlate at least two events and the schema defines state classes and permits the use of a variety of different programming languages by developers;  
5 creating an instance of a particular state machine implemented in a first programming language;  
defining transitions for the particular state machine in the first programming language by subscribing to at least one event by an update consumer; and  
10 applying ~~an~~ the update consumer to the particular state machine to update the state of the particular state machine, wherein the update consumer is a class object provided separate from the particular state machine that defines and invokes transition operations for the state machine in lieu of when the state machine is defined.

15 21. (Original) A method as recited in claim 20 further including deleting the particular state machine if the particular state machine reaches a final state.

20 22. (Original) A method as recited in claim 20 wherein the particular state machine includes a timer, the method further including deleting the particular state machine if the timer expires.

23. (Original) A method as recited in claim 20 wherein the particular state machine correlates at least one event and at least one data element.

5 24. (Original) A method as recited in claim 20 wherein the particular state machine correlates a plurality of events and a plurality of data elements.

25. (Original) A method as recited in claim 20 further including determining a current state of the particular state machine.

10 26. (Canceled).

27. (Currently Amended) One or more computer-readable memories storage media containing a computer program that is ~~executable~~ executed by a processor to perform the method recited in claim 20.

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28. (Currently Amended) An apparatus comprising:

a processor;

a plurality of event consumers; and

an event correlator coupled to the plurality of event consumers, the event

5 correlator ~~executable~~ executed via the processor to receive events from at least one event source and to receive data elements from at least one data source, the event correlator further to receive at least one correlation function configured to correlate events and data elements and to apply the received events and the received data elements to the correlation function, wherein the correlation function

10 is implemented by a state machine in a first programming language using a schema which defines state classes and permits the use of a variety of different programming languages by developers having and the state machine has an associated update consumer provided as a class object separate from the state machine that defines and invokes transition operations for the state machine in lieu

15 of when the state machine is defined, the transition operations that update the state of the state machine based on an subscribed event, and wherein the event correlator generates a specific event if the received events and the received data satisfy the correlation function including updating the state machine when the event to which the update consumer has subscribed occurs.

29. (Original) An apparatus as recited in claim 28 wherein the event correlator communicates the specific event to the plurality of event consumers.

5 30. (Original) An apparatus as recited in claim 28 wherein the event correlator communicates the specific event to event consumers that have requested to receive the specific event.

10 31. (Original) An apparatus as recited in claim 28 wherein the event correlator communicates the specific event to a plurality of filters, wherein each of the plurality of filters is associated with one of the plurality of event consumers.

32-33. (Canceled).

15 34. (Original) An apparatus as recited in claim 28 wherein the event correlator continues to receive additional events and additional data elements and apply the additional events and the additional data elements to the correlation function.

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35. (Currently Amended) One or more computer-readable storage media having stored thereon a computer program ~~that, when~~ executed by one or more processors, ~~eauses~~ causing the one or more processors to:

receive a plurality of events;

5 identify a plurality of correlation functions configured to correlate the plurality of events, wherein each of the plurality of correlation functions is implemented as a state machine in a first programming language using a schema which defines state classes and permits the use of a variety of different programming languages by developers having and has an associated update consumer provided separate from the state machine that defines transition operations in said first programming language for the state machine, in lieu of the when the state machine is defined, to update the state of the state machine based on an subscribed event;

15 apply the plurality of events to the plurality of correlation functions to determine whether any of the plurality of correlation functions are satisfied by the plurality of events, wherein the plurality of events are applied by causing update consumers associated with each event to update the state of the associated state machine by invoking the transition operations when the associated subscribed event occurs; and

20 generate a specific event if one of the plurality of correlation functions is satisfied by the plurality of events.

36. (Canceled).

37. (Currently Amended) One or more computer-readable storage media as recited in claim 35 wherein each state machine is a class object.

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38. (Currently Amended) One or more computer ~~e-computer-readable~~ storage media as recited in claim 37 further causing the one or more processors to identify a current state of the state machine.

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39. (Currently Amended) One or more computer ~~e-computer-readable~~ storage media as recited in claim 35 further causing the one or more processors to:

create a new instance of a state machine to implement a particular correlation function; and

define transitions for the new instance of the state machine by subscribing to at least one event.

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40. (Currently Amended) A computer-implemented method comprising:

receiving events from event providers;

creating a first state machine in a first programming language using a schema which defines state classes and permits the use of a variety of different programming languages by developers;

creating a second state machine in a second programming language using a schema which defines state classes and permits the use of a variety of different programming languages by developers;

associating a first event type with the first state machine, wherein the first state machine has an associated first update consumer separate from the first state machine that defines first transition operations in a first programming language for the first state machine in lieu when the first state machine is defined, wherein the first transition operations update the state of the first state machine based on an subscribed event;

associating a second event type with the second state machine, wherein the second state machine has an associated second update consumer, separate from the second state machine, that defines second transition operations in a second programming language for the second state machine in lieu of when the second state machine is defined, wherein the second transition objects update the state of the second state machine based on an subscribed event;



in response to receiving an event having a first event type, applying the first update consumer to the first state machine by invoking the first transition operations;

in response to receiving an event having a second event type, applying the second update consumer to the second state machine by invoking the second transition operations; and

if the events are correlated:

generating an additional event; and

sending the additional event to the event consumer.

41. (Previously Presented) The method as recited in claim 40, further comprising deleting the first state machine if the first state machine reaches a final state.

42. (Previously Presented) The method as recited in claim 40, wherein the additional event is sent to the event consumer through a filter associated with the event consumer.

43. (Previously Presented) The method as recited in claim 40, wherein the event consumer includes at least one of an event logging consumer, an event forwarding consumer, a mail consumer, and a scripting consumer.